

Futures Industry FHR Profiles

Very High Risk 0 to 19	High Risk 20 to 39	Medium Risk 40 to 59	Moderate Risk 60 to 79	Low Risk 80 to 100
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Financial Health Ratings

- "Clearer" means parent of the clearing subsidiary or, in a very few cases, the subsidiary itself.
- FHRs are calculated according to the individual company's fiscal years; the most recent FHRs on display therefore do not align in one column. Since some companies do not report quarterly, gaps appear in some rows.

	Sector Name	2007		2008				2009				2010				2011				2012		FHR Delta		Rating	Period End	CURRENT	FHR	EPD (%)		
		Q3	YE	Q1	Q2	Q3	YE	Q1	Q2	Q3	YE	Q1	Q2	Q3	YE	Q1	Q2	Q3	YE	Q1	Q2	Qtr	An							
1	Clearer1*	AAA	Consumer Services	75	73	70	63	44	25	23	24	25	24	25	23	22	23	22	20	20				0	-2	AAA	2011, Q3	9/30/11	20	5.9
2	Clearer2*	BBB	Financial Diversified	60	70	64	63	62	70	61	56	54	48	41	38	34	38	38	21	20				-1	-14	BBB	2011, Q3	9/30/11	20	5.9
3	Clearer3	CCC	Financial Diversified		60				49	47	42	41	36	31	25	23	26	28	29	28				-6	-6	CCC	2012, Q2	9/30/11	23	5.84
4	Clearer4	DDD	Financial Diversified	50	50	49	48	49	43	38	36	32	42	41	32	29	27	28	24	25				1	-4	DDD	2011, Q3	9/30/11	25	5.8
5	Clearer5	EEE	Financial Diversified	51	51	51	49	49	49	49	49	48	45	43	40	35	35	30	31	32	33	33		0	3	EEE	2012, Q1	9/30/11	33	4.72
6	Clearer6*	FFF	Financial Diversified	52	48				41				20	20	20	23	37	37	37	38	38	37	34	-3	-3	FFF	2012, Q2	9/30/11	34	4.56
7	Clearer7	GGG	Financial Diversified	65	66				57				37	35	33	44	45	44	44	40	37	37	35	-2	-9	GGG	2012, Q2	9/30/11	35	4.4
8	Clearer8	HHH	Financial Diversified	47	48				44				36	50	50	50	51	40	41	41	39	38		-1	-2	HHH	2012, Q1	6/30/11	38	3.74
9	Clearer9*	III	Consumer Services	64	59				57				31	27	35	35	41	40	39	38	40	38	38	0	-1	III	2012, Q2	9/30/11	38	3.74
10	Clearer10	JJJ	Banks	52	52				50				41	37	37	38	42	42	42	42	41	41	41	0	-1	JJJ	2012, Q2	9/30/11	41	3.12
11	Clearer11	KKK	Financial Diversified	53	48	40	30	18	17	17	18	18	47	43	43	42	43	44	44	41				-3	-1	KKK	2011, Q3	9/30/11	41	3.12
12	Clearer12	LLL	Financial Diversified										41				43							N/A	2	LLL	2010, YE	12/31/10	43	2.76
13	Clearer13	MMM	Banks		56								45				43		44		43			N/A	0	MMM	2011, YE	3/31/11	43	2.76
14	Clearer14	NNN	Financial Diversified	53	31	28	25	23	39	39	38	31	30	29	28	37	37	40	40	43				3	6	NNN	2011, Q3	9/30/11	43	2.76
15	Clearer15	OOO	Banks		51				50				42	42	41	42	42	42	42	42	41	43	43	0	1	OOO	2012, Q2	9/30/11	43	2.76
16	Clearer16	PPP	Banks	52	52	53	52	49	51	49	49	46	49	49	47	47	44	44	44	43				-1	-4	PPP	2011, Q3	9/30/11	43	2.76
17	Clearer17	QQQ	Financial Diversified	71	70	71	70	74	74	71	72	69	64	59	58	51	48	46		45				N/A	-6	QQQ	2011, Q3	9/30/11	45	2.4
18	Clearer18*	RRR	Financial Diversified	49	47	41	37	34	17	15	15	17	48	49	50	49		47	46	46				0	-3	RRR	2011, Q3	8/31/11	46	2.22
19	Clearer19	SSS	Financial Diversified	50	51	51	52	46	31	31	28	33	45	47	52	52	52	51	48	46				-2	-6	SSS	2011, Q3	9/30/11	46	2.22
20	Clearer20*	TTT	Financial Diversified		56	58	61	61	60	57	55	49	44	42	39	39	40	43	45	46				1	7	TTT	2011, Q3	9/30/11	46	2.22
21	Clearer21	UUU	Financial Diversified	61	60	60	59	58	59	57	57	55	50	46	46	44	43	47	47					0	3	UUU	2011, Q3	9/30/11	47	2.04
22	Clearer22	VVV	Banks		56				37		35		53		50		48		48					N/A	-2	VVV	2011, Q2	6/30/11	48	1.86
23	Clearer23	WWW	Food, Beverage and Tobacco	54	54	51	49	50	50	60	63	59	54	38	34	43	52	47	46	46	45	48		3	1	WWW	2012, Q1	9/30/11	48	1.86
24	Clearer24	XXX	Banks	72	72	70	68	66	64	62	63	61	63	60	58	53	51	51	45	48				3	-5	XXX	2011, Q3	9/30/11	48	1.86
25	Clearer25	YYY	Financial Diversified						54				53				36	37	44	48				4	N/A	YYY	2011, Q3	9/30/11	48	1.86

